



Dep & Ref
Room 307
#31 Reg For
MS 16
PATENT
0020-4872P
09-03-07

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: ITOH et al. Conf.: 3463
Appl. No.: 09/857,308 Group: 1642
Filed: June 1, 2002 Examiner: C. H. YAEN
For: NOVEL TUMOR ANTIGEN PROTEIN ART-1 AND TUMOR ANTIGEN
PEPTIDES THEREOF

ATTN: REFUND SECTION
ACCOUNTING DIVISION
OFFICE OF FINANCE

AUG 21 2003

REQUEST FOR REFUND
(Improper Charge of Deposit Account)

MS 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account

02-2448 shown on the statement dated May, 2002 for the above-identified

☒ application

☐ patent

☒ A copy of the monthly statement in which the error referred to occurs,
accompanies this request.

Adjustment date: 09/03/2003 EEKUBAY1
05/21/2003 GDUCKETT 00000004 022448 09857308
01 FC:1253 820.00 CR -110.00 OP

09/03/2003 EEKUBAY1 00000001 09857308

01 FC:1251

110.00 OP

OFFICE OF FINANCE
REFUND DIVISION
2003 AUG 25 AM 11:38
US PATENT & TRADEMARK
OFFICE

II. FEES CHARGED FOR WHICH REFUND REQUESTED

AMOUNT OF
REFUND
REQUESTED

- | | | |
|-------------------------------------|--|---------------|
| <input type="checkbox"/> | filing fee | _____ |
| <input type="checkbox"/> | surcharge for filing the basic filing
on a date later than the filing date
of the application (37 C.F.R. § 1.16(e)) | _____ |
| | and/or | |
| <input type="checkbox"/> | surcharge for filing the oath or declaration
on a date later than the filing date of the
application (37 C.F.R. § 1.16(e)) | _____ |
| <input checked="" type="checkbox"/> | extension of term | _____ |
| | <input type="checkbox"/> first month | _____ |
| | <input type="checkbox"/> second month | _____ |
| | <input checked="" type="checkbox"/> third month | <u>820.00</u> |
| | <input type="checkbox"/> fourth month | _____ |
| <input type="checkbox"/> | excess claims | _____ |
| <input type="checkbox"/> | issue fee | _____ |
| <input type="checkbox"/> | petition fee | _____ |
| <input type="checkbox"/> | patent maintenance fee | _____ |
| | <input type="checkbox"/> first maintenance fee | _____ |
| | <input type="checkbox"/> second maintenance fee | _____ |
| | <input type="checkbox"/> third maintenance fee | _____ |
| <input type="checkbox"/> | patent maintenance fee surcharge | _____ |
| <input type="checkbox"/> | Other: _____ | _____ |

TOTAL REFUND REQUESTED

\$820.00

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicants submitted a check for the extensions of time with the filing of an amendment on May 15, 2003.

IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

John W. Bailey, #32,881

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

JWB/enm
0020-4872P

Attachment(s)

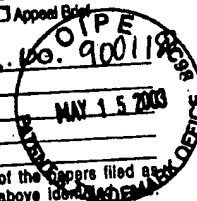
(Rev. 04/30/03)



Papers Filed herewith on: MAY 15 2003
DOCKET NO.: 0080-4872P ATTY: S. G. 10
APPLICANT(S): H. T. H. et al.
APPL. NO.: 09/021,308 FILED: 6-1-01
PAT NO.:

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-ink
☐ Completion of Filing Requirements, PCT/DO/EO/805
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment:
☐ Transmittal Ltr ☒ Large Entity ☐ Small Entity
☒ Response to Restriction Requirement
☐ Information Disc Stmt. PTO-1449(s) _____ doc(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
FEES: \$12000 ex. no. 900118
☐ Letter: _____
☐ Other: _____

DOCKET NO. 0080-4872P



Receipt is hereby acknowledged of the papers filed as
indicated in connection with the above identified application.
COMMISSIONER OF PATENTS AND TRADEMARKS
Due Date: 5-15-03
Handcarry: _____


☒ R ☒ B ☒ @ ☐ Y ☐
Deposit Account Statement

Requested Statement Month:

May 2003

Deposit Account Number:

022448

Name:

BIRCH STEWART KOLASCH & BIRCH

Attention:

Address:

8110 GATEHOUSE ROAD

City:

FALLS CHURCH

State:

VA

Zip:

22042

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
05/01	1	10344852	0179-0219P	2617	\$65.00	\$19.6
05/01	6	09125518	2185-0271	1501	\$40.00	\$19.6
05/01	10	76246052		6004	\$150.00	\$19.6
05/01	11	76246052		6004	\$150.00	\$19.6
05/01	12	76246052		6003	\$100.00	\$19.6
05/01	14	75408082	1840113T	6004	\$300.00	\$18.6
05/01	15	75408082	1840113T	6003	\$200.00	\$18.6
05/01	25	10425601	0941-0735P	1202	\$36.00	\$18.6
05/01	27	08086467	898110P	8021	\$40.00	\$18.6
05/01	28	08086467	898110P	8021	\$40.00	\$18.6
05/01	181	1004121	0283-0168P	8007	\$120.00	\$18.6
05/01	182	10111110	0485-0199P	1460	\$20.00	\$18.6
05/02	1	10321360	4459-0111P	1504	\$300.00	\$18.6
05/02	1	09929068	0941-0310P-S	1252	\$400.00	\$17.6
05/02	22	10305234	0425-0995P	1202	\$612.00	\$17.6
05/02	23	10305234	0425-0995P	1201	\$336.00	\$16.6
05/02	25	10419195	3313-0943P	8021	-\$40.00	\$16.6
05/02	55	78244491	3107-0103T	7001	\$335.00	\$16.6
05/02	60	5670195	0316-0120P	8021	\$80.00	\$16.6
05/02	168	1004970	2801-190 M	8008	\$200.00	\$16.6
05/02	191	1004972	2801-190 M	8008	\$200.00	\$16.6
05/02	193	1005015	MARK NUEL	8008	\$200.00	\$15.6
05/02	230	PCT/US03/13012	2341-0105FPC	1707	\$196.00	\$15.6
05/02	232	PCT/US03/13012	2341-0105FPC	8007	\$20.00	\$15.6
05/02	732	78244936	L8309-01015	7001	\$335.00	\$15.6
05/02	798	78244971	4028-0135T	7001	\$335.00	\$14.6
05/05	1	78245034	2846-0225T	7001	\$335.00	\$14.6
05/05	9	78245039	L8736-01007	7001	\$335.00	\$14.6
05/05	16	953286		8008	-\$200.00	\$14.6
05/05	40	5965286		9204	-\$1,600.00	\$16.6
05/05	43	1005520	1423-0147FIN	8007	\$20.00	\$16.6

Deposit Account Statement

Page 2 of 6

05/05 117	1005602	3535-0103P	8007	\$20.00	\$16.00
05/05 121	1005615	0717-0506P	8007	\$20.00	\$16.00
05/05 326	78245233	L8736-01003	7001	\$670.00	\$15.00
05/06 4	09886384	0104-0350P	1806	-\$180.00	\$15.00
05/06 44	PCT/US01/26594		9204	-\$117.00	\$15.00
05/06 64	E-REPLENISHMENT		9203	-\$7,000.00	\$22.00
05/06 84	10334065	3887-0138P	1051	\$130.00	\$22.00
05/06 85	78245724	3660-0158T	7001	\$335.00	\$22.00
05/06 92	10428842	2750-1559P	2001	\$375.00	\$21.00
05/06 148	09646689	965-314P	1252	\$10.00	\$21.00
05/07 4	09380566	20-4611PCT	1501	\$1,280.00	\$20.00
05/07 5	09380566	20-4611PCT	8001	\$12.00	\$20.00
05/07 21	1006467	0717-0505P	8007	\$20.00	\$20.00
05/07 33	10336919		9204	-\$130.00	\$20.00
05/07 34	10429889		9204	-\$280.00	\$20.00
05/07 53	08633212	465-SP274P	1453	\$1,300.00	\$19.00
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05/07 247	1007149	2870-0243P/GMMGMM/LAS	8007	\$40.00	\$18.00
05/07 302	1007239	0091-0221PJMS/S1B	8007	\$20.00	\$18.00
05/07 303	1007241	0091-0220PJMS/S1B	8007	\$20.00	\$18.00
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05/08 173	78246810	4028-0137T	7001	\$335.00	\$17.00
05/08 218	09719875	0599-0205P	1453	\$20.00	\$17.00
05/08 373	PCT/US03/13862	4450-0364FPC	1707	\$135.00	\$17.00
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05/09 119	09359646	0465-0589P-S	1252	\$300.00	\$10.1
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05/13 1158	78249072	1338-0169T	7001	\$335.00	\$14.1
05/14 3	10435391	3884-0111P	2001	\$375.00	\$14.1
05/14 16	75616308	29210106T	6005	\$100.00	\$14.1
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05/14 716	78249452	4445-0103T	7001	\$335.00	\$26.1
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05/15 174	1011415		8013	\$25.00	\$15.1
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05/16 31	E-REPLENISHMENT		9203	-\$10,000.00	\$25.1
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05/16 154	10416350	0104-0431P	2611	\$530.00	\$25.1
05/16 155	10416350	0104-0431P	2617	\$65.00	\$25.1
05/16 156	10416350	0104-0431P	2615	\$81.00	\$25.1
05/16 157	10416350	0104-0431P	2616	\$140.00	\$24.1
05/16 158	10416349	3891-0110P	2611	\$530.00	\$24.1
05/16 159	10416349	3891-0110P	2617	\$65.00	\$24.1
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05/16 161	10416349	3891-0110P	2614	\$126.00	\$24.1
05/16 198	1012205	43710104FCA	8503	\$15.00	\$24.1
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05/16 943	78250857	3767-0103T	7001	\$335.00	\$23.1
05/16 950	78250859	3767-0105T	7001	\$335.00	\$22.1
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05/16 958	78250866	3767-0108T	7001	\$335.00	\$22.1
05/16 967	78250870	3767-0109T	7001	\$335.00	\$21.1
05/16 970	78250871	3767-0110T	7001	\$335.00	\$21.1
05/16 973	78250872	3767-0111T	7001	\$335.00	\$20.1
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05/19 1	09331163	3920-0105P	1253	\$520.00	\$20.1
05/19 2	09331163	3920-0105P	1252	\$300.00	\$19.1
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05/19 6	09435603	0465-0627P-S	1251	\$110.00	\$19.1
05/19 8	09497878	152-0521P	1203	\$280.00	\$19.1
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05/19 346	2125334		6208	\$200.00	\$18.1
05/19 350	2123430		6205	\$100.00	\$18.1
05/19 351	2123430		6208	\$200.00	\$18.1
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05/20 672	78251883	2846-0240T	7001	\$335.00	\$16.0
05/20 676	78251885	2846-0236T	7001	\$335.00	\$15.1
05/20 677	78251886	2846-0235T	7001	\$335.00	\$15.1
05/20 1013	78045131	1126-01020	7004	\$150.00	\$15.1
05/21 2	10076833	1718-0198P	1814	\$110.00	\$15.1
05/21 3	10076833	1718-0198P	1814	\$110.00	\$14.1
05/21 9	09857308	0020-4872P	1253	\$820.00	\$14.1
05/21 23	09155796		9204	\$5.00	\$14.1
05/21 87	10440547	L8522-01003	2001	\$375.00	\$13.1
05/21 88	10440547	L8522-01003	2202	\$45.00	\$13.1
05/21 104	10441027	2121-0172P	1203	\$36.00	\$13.1
05/21 115	10300829		9204	-\$370.00	\$14.0
05/21 127	09914227		9204	-\$205.00	\$14.1
05/21 175	10343240	2059-0105P	2251	\$55.00	\$14.1
05/21 361	1014565	JWH	8008	\$200.00	\$14.0
05/21 362	1014567	JWH	8008	\$200.00	\$13.1
05/21 363	1014569	JWH	8008	\$200.00	\$13.1
05/21 462	78252267	1965-0135T	7001	\$1,005.00	\$12.1
05/22 1	1680964		6207	\$100.00	\$12.1
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05/22 30	10441779		9204	-\$36.00	\$12.1
05/22 34	E-REPLENISHMENT		9203	-\$10,000.00	\$22.1
05/22 34	10214719	0445-0325P	1051	-\$130.00	\$22.1
05/22 142	1241072		9204	-\$10.00	\$22.1
05/22 568	78252896	3545-0131T	7001	\$335.00	\$22.1
05/22 570	78252899	2846-0238T	7001	\$335.00	\$21.1
05/22 574	78252901	4586-0102T	7001	\$1,005.00	\$20.1
05/22 576	78252903	3252-0111T	7001	\$335.00	\$20.1
05/22 578	78252906	4028-0134T	7001	\$335.00	\$20.1
05/23 3	10182617		9204	-\$72.00	\$20.1
05/23 83	78253195	1380-0190T	7001	\$670.00	\$19.1
05/23 87	78253199	1380-0195T	7001	\$670.00	\$19.1
05/23 92	6414685		1811	\$100.00	\$18.1
05/23 103	10391789	3449-0243P	1202	\$18.00	\$18.1
05/23 113	78253206	2846-0237T	7001	\$335.00	\$18.1
05/23 145	10417511	AND/04A	2051	\$65.00	\$18.1
05/23 246	78253290	L8542	7001	\$335.00	\$18.1
05/27 1	09359121	2091-0194P-S	1202	\$108.00	\$18.1
05/27 1	10068953	1517-0137P	1504	\$300.00	\$17.1
05/27 2	10158869	0941-0473P-S	1504	\$300.00	\$17.1
05/27 3	10060343	1380-0171P	1504	\$300.00	\$17.1
05/27 5	09778922	0365-0494P	1053	-\$130.00	\$17.1

05/27 7	09921872		9204	-\$650.00	\$17.9
05/27 75	09698264	2694-0129P	1808	\$130.00	\$17.7
05/27 89	10443878	2121-0175P	2203	\$140.00	\$17.6
05/27 90	75614240		6004	\$50.00	\$17.6
05/27 164	76318922	4173-0104T	6003	\$100.00	\$17.5
05/27 255	1016818	09410673P	8007	\$20.00	\$17.4
05/27 1260	75933734	1122	7003	\$100.00	\$17.3
05/28 1	09989394	0229-0677P	1806	\$180.00	\$17.2
05/28 5	10311266		9204	-\$324.00	\$17.1
05/28 36	75933734	1122	7004	\$150.00	\$17.0
05/28 48	PCT/US03/15737	1215-0470FPC	1707	\$157.00	\$17.0
05/28 50	PCT/US03/15737	1215-0470FPC	8007	\$20.00	\$17.0
05/28 117	PCT/US03/15732	1215-0487FPC	1707	\$130.00	\$17.0
05/28 119	PCT/US03/15732	1215-0487FPC	8007	\$20.00	\$17.0
05/28 147	2010626		6205	\$100.00	\$16.9
05/28 148	2010626		6206	\$100.00	\$16.8
05/29 1	10170542	3782-0229P	1203	-\$280.00	\$17.0
05/29 39	5786761		2551	\$5.00	\$17.0
05/29 54	09917042	386B	1504	\$300.00	\$16.8
05/29 56	09463442	753-161P	8001	\$24.00	\$16.8
05/29 62	10446110	0315-0147P	1203	\$280.00	\$16.8
05/29 698	78255544	0630-1772T	7001	\$335.00	\$16.7
05/29 703	78255548	1338-0170T	7001	\$335.00	\$15.8
05/30 1	09964382	0029-0664P	1203	\$280.00	\$15.5
05/30 3	78255726	4013-0181T	7001	\$335.00	\$15.2
05/30 7	78255729	4013-0182T	7001	\$335.00	\$14.9
05/30 8	10195417	0874-0115P	1051	-\$130.00	\$15.0
05/30 13	78255733	4013-0183T	7001	\$335.00	\$14.6
05/30 16	78255734	2038-0116T	7001	\$335.00	\$14.5
05/30 21	78255736	2038-0115T	7001	\$335.00	\$14.0
05/30 22	E-REPLENISHMENT		9203	-\$10,000.00	\$24.0
05/30 47	10446850	0229-0755P	1203	\$244.00	\$23.7
05/30 73	10446783		9204	-\$280.00	\$24.0
05/30 127	10356562	2750-1547P	2001	\$375.00	\$23.6
05/30 313	10311279	0641-0243P	1617	\$130.00	\$23.5
	START	SUM OF	SUM OF	END	
	BALANCE	CHARGES	REPLENISH	BALANCE	
	\$19,700.13	\$66,659.00	\$70,516.00	\$23,557.13	

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